



Atty. Dkt No.  
34006.002

PATENT

#6  
2-11-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Thomas Perlich

Serial No.: 09/996,135 ✓

Group Art Unit: 1724

Filed : November 28, 2001 ✓

Examiner: To Be Assigned

For : METHODS, APPARATUS, AND COMPOSITIONS FOR  
CONTROLLING ORGANISMS IN BALLAST WATER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
FEB 10 2003  
TC 1700

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, Applicant is enclosing an Information Disclosure Citation Form (PTO-1449) which lists the references cited in the International Search Report issued for corresponding International Appln. No. PCT/US01/44370 mailed November 15, 2002.

Applicant certifies under 37 C.F.R. 1.97(e)(1) that all documents submitted herewith were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement.

It is respectfully requested that the cited documents be considered by the Examiner in the above-identified patent application and that the cited documents be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent which may issue from this application.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

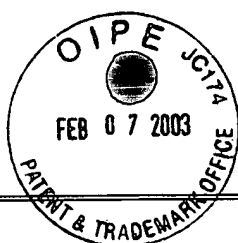
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PATENT TRADEMARK OFFICE

Date: February 7, 2003



FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET 34006.002	SERIAL NO. 09/996,135
	APPLICANT: Thomas Perlich	
	FILING DATE November 28, 2001	GROUP ART UNIT 124 <del>To Be Assigned</del>

U.S. PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE, IF APPROPRIATE
	AA	6,106,730	8/22/00	Freyremark, et al.	210	754	
	AB	5,547,584	8/20/96	Capehart	210	669	
	AC	4,414,193	11/8/83	Fredette et al.	423	478	
	AD						
	AE						
	AF						
	AG						

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FOREIGN PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	
	AH	7-277703	10/24/95	Japan			Abstract	
	AI	GB 2 249 306	5/6/92	Great Britain				
	AJ							
	AK							
	AL							
	AM							
	AN							

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Boylston J. "Ballast Water Management for the Control of Nonindigenous Species", Vol. 104, 1996, pp. 391-417
AP	Oemcke D., "The Treatment of Ships' Ballast Water", Ecoports Monograph. Series No. 18, March 1999, pp. 1-92
EXAMINER:	DATE CONSIDERED:
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	